

Serial No. 10/663,619

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zhi-Xing Yao et al. Examiner: Unknown
Serial No.: 10/663,619 Group Art Unit: 1614
Filed: September 16, 2003 Docket: 1941.014US1
Title: NEUROPROTECTIVE SPIROSTENOL PHARMACEUTICAL
COMPOSITIONS

COMMUNICATION RE: MISSING PARTS AND RETENTION REQUEST

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This communication is in response to the "Notice to File Missing Parts" mailed October 19, 2004 (see enclosed copy). Please charge Deposit Account No. 19-0743 in the amount of \$65.00 to cover the Small entity surcharge.

Additionally, applicant requests retention in order to claim priority to a co-pending patent application pursuant to 35 U.S.C. §120 and 37 C.F.R. §1.78(a)(1), such that the above-referenced application is co-pending to a separate continuation application filed on even date herewith.

Please charge Deposit Account No. 19-0743 in the amount of \$130.00 to cover the fee for processing and retaining the above-referenced application pursuant to 37 C.F.R. § 1.21(l) and 37 C.F.R. §1.53(f). Please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,
ZHI-XING YAO ET AL.
By Applicants' Representatives,
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
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Date Jan. 7, 2005

By Monique M. Perdok Shonka
Monique M. Perdok Shonka
Reg. No. 42,989

CERTIFICATE UNDER 37 CFR § 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on this 7th day of January, 2005

PATRICIA A. HULTMAN
Name

[Signature]
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Zhi-Xing Yao et al.

Title: Neuroprotective Spirostenol Pharmaceutical Compositions

Docket No.: 1941.014US1

Filed: September 16, 2003

Examiner: Unknown

Serial No.: 10/663,619

Due Date: January 19, 2005

Group Art Unit: 1614

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ Communication Re: Missing Parts and Retention Request (1 Page).
- ☒ Petition for Extension of Time (1 pg.)
- ☒ Copy of Notice to File Missing Parts of NonProvisional Application (2 pgs.).
- ☒ Notification of Change of Correspondence Address (1 pg.).
- ☒ Revocation and Power of Attorney (2 pgs.).
- ☒ Authorization to Charge Deposit Account No. 19-0743 in the amount of \$130.00.
- ☒ Authorization to Charge Deposit Account No. 19-0743 in the amount of \$65.00.
- ☒ Authorization to Charge Deposit Account No. 19-0743 in the amount of \$60.00 for Filing Extension of Time.

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.


Customer Number 21186

By: Monique M. Perdok Shonka
Atty: Monique M Perdok Shonka
Reg. No. 42,989

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 7TH day of January, 2005.

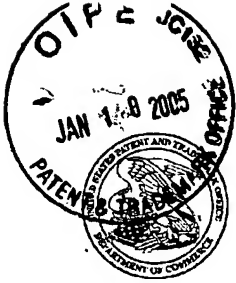
PATRICIA A. HULTMAN

Name


Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

(GENERAL)



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/663,619	09/16/2003	Zhi-Xing Yao	03187338

26565
MAYER, BROWN, ROWE & MAW LLP
190 SOUTH LASALLE ST
CHICAGO, IL 60603-3441

CONFIRMATION NO. 3510

FORMALITIES LETTER



OC000000014131124

Date Mailed: 10/19/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/11/2005 SHINASS1 00000005 190743 10663619 FILED UNDER 37 CFR 1.53(b)

01 FC:2051 65.00 DA

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
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P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

B. Habtemichael

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE